

# USPAS Accelerator Physics 2017 University of California, Davis

#### **Chapter 9: RF Cavities and RF Linear Accelerators**

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Happy Birthday to Hideki Yukawa, Paul Langevin, Rutger Hauer, and Gertrude Elion! Happy Rhubarb Pie Day, National Handwriting Day, and Measure Your Feet Day!

Happy Handwriting Day



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# **Vertical Dispersion Correction**

 The end of section 6.10 in CM notes how sextupoles at locations of horizontal dispersion compensate **both** horizontal and vertical chromaticity

$$k(s) \equiv \frac{B'}{(B\rho)} \quad \Delta x' = [k(s)ds] \ x = (kL) \ x = \frac{x}{f} \quad \approx [k_0(s)ds] \ x(1-\delta)$$
$$\xi_{\mathbf{x},\mathbf{N}} = -\frac{1}{4\pi Q_H} \oint \beta_x(s)k_0(s) \ ds \qquad \text{CM 6.126}$$

- Normal sextupoles:  $b_2(s) \equiv \frac{B''}{(B\rho)}$   $\Delta x' \approx [b_2(s)ds](x^2 y^2)(1 \delta)$
- Horizontal dispersion:

$$x \to x + \eta_x(s)\delta$$

First order in x, δ:

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$$\Delta x' \approx b_2 \, ds [x^2 + 2\eta_x(s)\delta \, x + \delta^2](1 - \delta) \approx 2[b_2 \, ds \, \eta_x(s)\delta] x$$



**Vertical Dispersion Correction**  

$$k(s) \equiv \frac{B'}{(B\rho)} \quad \Delta x' = [k(s)ds] \ x = (kL) \ x = \frac{x}{f} \quad \approx [k_0(s)ds] \ x(1-\delta) \ \text{Quad}$$

$$\Delta x' \approx b_2 \ ds[x^2 + 2\eta_x(s)\delta \ x + \delta^2](1-\delta) \approx 2[b_2 \ ds \ \eta_x(s)\delta]x \quad \text{Sext}$$

$$\xi_{x,N} = -\frac{1}{4\pi Q_H} \oint \beta_x(s)k_0(s) \ ds \qquad \xi_x(\text{sext}) = \frac{+1}{4\pi Q_H} \oint \beta_x(s)b_2(s)\eta_x(s) \ ds$$
• Vertically for quads, sign flips and x goes to y
$$\xi_{y,N} = \frac{+1}{4\pi Q_V} \oint \beta_y(s)k_0(s) \ ds \qquad \text{CM 6.127b}$$
• Sextupole effect is coupled in vertical plane, with horz dispersion:

 $\Delta y' \approx [b_2(s)ds](2xy)(1-\delta) = [b_2(s)ds][2(x+\eta_x(s)\delta)y](1-\delta)$ 

$$\xi_y(\text{sext}) = -\frac{1}{4\pi Q_V} \oint \beta_y(s) [2b_2(s)\eta_x(s)] \, ds$$

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# **RF Concepts and Design**

- Much of RF is really a review of graduate-level E&M
  - See, e.g., J.D. Jackson, "Classical Electrodynamics"
  - The beginning of this lecture is hopefully review
    - But it's still important so we'll go through it
    - Includes some comments about electromagnetic polarization
  - We'll get to interesting applications later in the lecture
    - (or tomorrow)

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- Particularly important are cylindrical waveguides and cylindrical RF cavities
  - Will find transverse boundary conditions are typically roots of Bessel functions
  - TM (transverse magnetic) and TE (transverse electric) modes
  - RF concepts (shunt impedance, quality factor, resistive losses)



# 9.1: Maxwell's Equations



Maxwell's Equations are linear in the source terms  $\rho$  and  $\overline{J}$ . In general will generate linear (partial) differential equations to solve. Superposition valid!

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### **Constitutive Relations and Ohm's Law**

$$\vec{D} = \varepsilon \vec{E} = \varepsilon_r \varepsilon_0 \vec{E}$$

$$\varepsilon_0 = 8.85 \times 10^{-12} \frac{\mathrm{C}}{\mathrm{N} \cdot \mathrm{m}^2}$$

 $\vec{D}$ : Electric flux density  $\vec{E}$ : Electric field density  $\varepsilon$ : Permittivity

$$\varepsilon_0 \mu_0 = \frac{1}{c^2}$$

$$\vec{B} = \mu \vec{H} = \mu_r \mu_0 \vec{H}$$

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$$\mu_0 = 4\pi \times 10^{-7} \frac{\mathrm{N} \cdot \mathrm{s}^2}{\mathrm{C}^2} \approx 1.257 \ \frac{\mathrm{cm} \cdot \mathrm{G}}{\mathrm{A}}$$

- $\vec{H}$ : Magnetic field density
- $\vec{B}$ : Magnetic flux density
  - $\mu$ : Permeability  $\sigma$

 $\vec{J} = \sigma \vec{E}$ 

 $\sigma: \text{ conductivity}$ 



# **Boundary Conditions**

- Boundary conditions on fields at the surface between two media depend on the surface charge and current densities:
  - $E_{\parallel}$  and  $B_{\perp}$  are continuous

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- $D_{\perp}$  changes by the surface charge density  $ho_{
  m s}$  (scalar)
- $H_{\parallel}$  changes by the surface current density  $ec{J}_{
  m s}$

$$\hat{n} \times (\vec{H}_1 - \vec{H}_2) = \vec{J}_{\rm s}$$

C&M Chapter 9: no dielectric or magnetic materials

$$\mu = \mu_0 \qquad \epsilon = \epsilon_0$$



# **Wave Equations and Symmetry**

Taking the curl of each curl equation and using the identity

$$\vec{\nabla} \times (\vec{\nabla} \times \vec{E}) = \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E} = -\nabla^2 \vec{E}$$

then gives us two identical wave equations

$$\nabla^2 \vec{E} = \mu \sigma \frac{\partial \vec{E}}{\partial t} + \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} \qquad \nabla^2 \vec{H} = \mu \sigma \frac{\partial \vec{H}}{\partial t} + \mu \epsilon \frac{\partial^2 \vec{H}}{\partial t^2}$$

These **linear wave equations** reflect the deep symmetry between electric and magnetic fields. Harmonic solutions:

$$\vec{\Psi}(\vec{r},t) = \hat{\Psi}(\vec{r})e^{-i\omega t} \quad \text{for } \vec{\Psi} = \vec{E}, \vec{H}$$
$$\Rightarrow \quad \nabla^2 \hat{\Psi} = \Gamma^2 \hat{\Psi} \quad \text{where } \Gamma^2 = (-\mu\epsilon\omega^2 + i\mu\sigma\omega)$$

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# **Conductivity and Skin Depth**

- For high conductivity,  $\sigma \gg \omega \epsilon$ , charges move freely enough to keep electric field lines perpendicular to surface (RF oscillations are adiabatic vs movement of charges)
  - Copper:  $\sigma \approx 6 \times 10^7 \ \Omega^{-1} \, \mathrm{m}^{-1}$
  - Conductivity condition holds for very high frequencies (10<sup>15</sup> Hz)
- For this condition

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$$\Gamma \approx \sqrt{\frac{\mu\omega\sigma}{2}}(1+i)$$

Inside the conductor, the fields drop off exponentially e.g. for Copper

$$\hat{\Psi}(z) = \Psi(0) e^{-z/\delta}$$
  
skin depth  $\delta \equiv \sqrt{\frac{2}{\mu\omega\sigma}}$ 

Frequency	Skin depth (µm)
60 Hz	8470
10 kHz	660
100 kHz	210
1 MHz	66
10 MHz	21
100 MHz	6.6

#### **Surface Resistance and Power Losses**

- There is still non-zero power loss for finite resistivity
  - Surface resistance: resistance to current flow per unit area

surface resistance 
$$R_s \equiv \sqrt{\frac{\mu\omega}{2\sigma}} = \frac{1}{\sigma\delta}$$
  
surface power loss  $\langle P_{\text{loss}} \rangle = \frac{R_s}{2} \int_{S} |H_{\parallel}|^2 dS$ 

- This isn't just applicable to RF cavities, but to transmission lines, power lines, waveguides, etc – anywhere that electromagnetic fields are interacting with a resistive media
- Deriving the power loss is part of your homework!

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 We'll talk more about transmission lines and waveguides after one brief clarification...

### **Anomalous Skin Effect**

• Conductivity really depends on the frequency  $\omega$  and mean time between electron interactions  $\tau$  (or inverse temperature)

$$\sigma(\omega) = \frac{\sigma_0}{(1+i\omega\tau)}$$

- Classical limit is  $\,\omega\tau \to 0$  , adiabatic field wrt electron interactions
- For non-classical limit,  $\vec{J} = \sigma \vec{E}$  no longer applies since electrons see changing fields between single interactions



$$\begin{aligned} \overrightarrow{E}(\vec{x},t) &= \overrightarrow{E}_{0} e^{i\vec{k}\cdot\vec{x}-i\omega t} \\ \overrightarrow{B}(\vec{x},t) &= \overrightarrow{B}_{0} e^{i\vec{k}\cdot\vec{x}-i\omega t} \\ \overrightarrow{B}(\vec{x},t) &= \overrightarrow{B}_{0} e^{i\vec{k}\cdot\vec{x}-i\omega t} \end{aligned}$$

$$\begin{aligned} k &= \frac{2\pi}{\lambda_{\rm rf}} \quad (\text{wave number}) \\ v_{\rm ph} &= \frac{\omega}{k} \quad (\text{phase velocity}) \\ v_{\rm g} &= \frac{d\omega}{dk} \quad (\text{group velocity}) \end{aligned}$$

The source-free divergence Maxwell equations imply  $\vec{k} \cdot \vec{E}_0 = \vec{k} \cdot \vec{B}_0 = 0$ 

so the fields are both transverse to the direction  $\dot{k}$ (This will be the  $\hat{z}$  direction in a lot of what's to come) Faraday's law also implies that both fields are spatially transverse to each other

$$\vec{B}_0 = \frac{\vec{k} \times \vec{E}_0}{\omega} = \frac{n\hat{n} \times \vec{E}_0}{c}$$
  $\hat{n} \equiv \frac{\vec{k}}{k}$  index of refraction

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# **Standing Waves**

$$\vec{E}(\vec{x},t) = \vec{E}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$
$$\vec{B}(\vec{x},t) = \vec{B}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$

Note that Maxwell's equations are linear, so any linear combination of magnetic/electric fields is also a solution.

Thus a **standing wave** solution is also acceptable, where there are two plane waves moving in opposite directions:

$$\vec{E}(\vec{x},t) = \vec{E}_0 \left( e^{i\vec{k}\cdot\vec{x}-i\omega t} + e^{-i\vec{k}\cdot\vec{x}-i\omega t} \right)$$
$$\vec{B}(\vec{x},t) = \vec{B}_0 \left( e^{i\vec{k}\cdot\vec{x}-i\omega t} + e^{-i\vec{k}\cdot\vec{x}-i\omega t} \right)$$

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# **Polarization**

$$\vec{E}(\vec{x},t) = \vec{E}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$
$$\vec{B}(\vec{x},t) = \vec{B}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$

- As long as the  $\vec{E}$  and  $\vec{B}$  fields are transverse, they can still have different transverse components.
  - So our description of these fields is also incomplete until we specify the transverse components at all locations in space
  - This is equivalent to an uncertainty in phase of rotation of  $\vec{E}$  and  $\vec{B}$  around the wave vector  $\vec{k}$ .
  - The identification of this transverse field coordinate basis defines the **polarization** of the field.



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# **Linear Polarization**

$$\vec{E}(\vec{x},t) = \vec{E}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$
$$\vec{B}(\vec{x},t) = \vec{B}_0 e^{i\vec{k}\cdot\vec{x}-i\omega t}$$

So, for example, if *E* and *B* transverse directions are constant and do not change through the plane wave, the wave is said to be **linearly polarized**.



# **Circular Polarization**

$$\vec{E}(\vec{x},t) = \vec{E}_0 \left( e^{i\vec{k}\cdot\vec{x}-i\omega t} + e^{i\vec{k}\cdot\vec{x}-i\omega t+\pi/2} \right)$$
$$\vec{B}(\vec{x},t) = \vec{B}_0 \left( e^{i\vec{k}\cdot\vec{x}-i\omega t} + e^{i\vec{k}\cdot\vec{x}-i\omega t+\pi/2} \right)$$

If *E* and *B* transverse directions vary with time, they can appear as two plane waves traveling out of phase. This phase difference is 90 degrees for **circular polarization**.



# 9.2: Cylindrical Waveguides

Consider a cylindrical waveguide, radius a, in z direction

$$\vec{E} = \vec{E}(r,\theta) \mathrm{e}^{i(\omega t - k_g z)}$$

- $k_g$  can be imaginary for attenuation down the guide
- We'll find constraints on this cutoff wave number
- Maxwell in cylindrical coordinates (math happens) gives

$$\begin{aligned} \frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial E_z}{\partial r}\right) + \frac{1}{r^2}\frac{\partial^2 E_z}{\partial \theta^2} &= -k_c^2 E_z \\ \frac{\partial^2 E_z}{\partial z^2} &= -k_g^2 E_z \\ (\text{and the same for } H_z) \\ k &\equiv \left(\frac{\omega}{c}\right) \text{ (free space wave number)} \\ k_c^2 &\equiv k^2 - k_g^2 \end{aligned} \qquad \begin{aligned} E_r &= -\frac{1}{k_c^2}\left[ik_g\frac{\partial E_z}{\partial r} + \frac{i\omega\mu}{r}\frac{\partial H_z}{\partial \theta}\right], \\ E_\theta &= \frac{1}{k_c^2}\left[-\frac{ik_g}{r}\frac{\partial E_z}{\partial \theta} + i\omega\mu\frac{\partial H_z}{\partial r}\right], \\ H_r &= \frac{1}{k_c^2}\left[\frac{i\omega\epsilon}{r}\frac{\partial E_z}{\partial \theta} - ik_g\frac{\partial H_z}{\partial r}\right], \\ H_\theta &= -\frac{1}{k_c^2}\left[i\omega\epsilon\frac{\partial E_z}{\partial r} + \frac{ik_g}{r}\frac{\partial H_z}{\partial \theta}\right]. \end{aligned}$$

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#### **Transverse Electromagnetic (TEM) Modes**

- Various subsets of solutions are interesting
  - For example, the  $E_z, H_z = 0$  nontrivial solutions require

$$k_c^2 = k^2 - k_g^2 = 0$$

- The wave number of the guide matches that of free space
- Wave propagation is similar to that of free space
- This is a TEM (transverse electromagnetic) mode
  - Requires multiple separate conductors for separate potentials or free space
  - · Sometimes similar to polarization pictures we had before





# **Transverse Magnetic (TM) Modes**

- Maxwell's equations are linear so superposition applies
  - We can break all fields down to TE and TM modes
  - **TM**: Transverse magnetic ( $H_z=0, E_z\neq0$ )
  - TE: Transverse electric ( $E_z=0, H_z\neq 0$ )
  - TM provides particle energy gain or loss in z direction!

Separate variables  $E_z(r, \theta) = R(r)\Theta(\theta)$ 

Boundary conditions  $E_z(r=a) = E_\theta(r=a) = 0$ 

Maxwell's equations in cylindrical coordinates give

$$\frac{d^2 R}{dr^2} + \frac{1}{r} \frac{dR}{dr} + \left(k_c^2 - \frac{n^2}{r^2}\right) R = 0 \qquad \text{Bessel equation}$$
$$\frac{d^2 \Theta}{d\theta^2} + n^2 \Theta = 0 \qquad \text{SHO equation}$$
$$\stackrel{\text{SHO equation}}{=> n \text{ integer, real}}$$

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# **TM Mode Solutions**

- The radial equation has solutions of Bessel functions of first J<sub>n</sub>(k<sub>c</sub>r) and second N<sub>n</sub>(k<sub>c</sub>r) kind
  - Toss N<sub>n</sub> since they diverge at r=0
  - Boundary conditions at r=a require J<sub>n</sub>(k<sub>c</sub>a)=0
  - This gives a constraint on k<sub>c</sub>

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$$k_c = X_{nj}/a$$
 where  $X_{nj}$  is the j<sup>th</sup> nonzero root of  $J_n$   
 $\Rightarrow E_z(r,\theta,t) = (C_1 \cos n\theta + C_2 \sin n\theta) J_n\left(\frac{X_{nj}}{a}r\right) e^{i(\omega t - k_g z)}$ 

- This mode of this field is commonly known as the TM<sub>nj</sub> mode
- The first index corresponds to theta periodicity, while the second corresponds to number of radial Bessel nodes
- TM<sub>01</sub> is the usual fundamental accelerating mode



#### **TM Mode Visualizations**

 $E_z(r,\theta,t) = (C_1 \cos n\theta + C_2 \sin n\theta) J_n\left(\frac{X_{nj}}{a}r\right) e^{i(\omega t - k_g z)}$ 



#### Java app visualizations are also linked to the class website

- For example, <u>http://www.falstad.com/emwave2</u> (TM visualization)
  - Scroll down to Circular Modes 1 or 2 in first pulldown

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# **Cutoff Frequency**

- It's easy to see that there is a minimum frequency for our waveguide that obeys the boundary conditions
  - Modes at lower frequencies will suffer resistance
  - Dispersion relation in terms of radial boundary condition zero

$$k^2 - k_g^2 = \left(\frac{\omega}{c}\right)^2 - k_g^2 = k_c^2 = \left(\frac{X_{nj}}{a}\right)$$

- A propagating wave must have real group velocity  $k_a^2 > 0$
- This gives the expected lower bound on the frequency

$$\frac{\omega}{c} \ge \frac{X_{nj}}{a} \quad \Rightarrow \quad \left[ \text{cutoff frequency } \omega_c = \frac{cX_{nj}}{a} \right]$$

Wavelength of lowest cutoff frequency for j=1 is

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$$\lambda_c = \frac{2\pi}{k_c} \approx 2.61a$$

 $\mathbf{2}$ 





Figure. 9.1 The dispersion or Brillouin diagram for a uniform cylindrical waveguide of radius a. The angles  $\alpha_{\rm ph}$  and  $\alpha_g$  for the point P are defined by the relations  $\tan \alpha_{\rm ph} = v_{\rm ph}/c$ , and  $\tan \alpha_g = v_g/c$ . The asymptotic diagonal lines are the dispersion curve for a wave traveling in free space.

• Circular waveguides above cutoff have phase velocity >c

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Cannot easily be used for particle acceleration over long distances



### **Iris-Loaded Waveguides**

- Solution: Add impedance by varying cylinder radius
  - This changes the dispersion condition by loading the waveguide



Figure. 9.3 Brillouin diagram for a loaded cylindrical waveguide. The point P has phase velocity less than c, i. e.,  $\alpha_{ph} < 45^{\circ}$ . As  $k_q c$  approaches  $\pi/d$ , the group velocity goes to zero. **Jefferson Lab** T. Satogata / January 2017 USPAS Accelerator Physics 29



# **Cylindrical RF Cavities**

- What happens if we close two ends of a waveguide?
  - With the correct length corresponding to the longitudinal wavelength, we can produce longitudinal standing waves
  - Can produce long-standing waves over a full linac
  - Modes have another index for longitudinal periodicity



### **Boundary Conditions**

 Additional boundary conditions at end-cap conductors at z=0 and z=l

 $H_z(r,\theta,0) = H_z(r,\theta,l) = 0$ 

 $E_r(r,\theta,0) = E_\theta(r,\theta,0) = E_r(r,\theta,l) = E_\theta(r,\theta,l) = 0$ 





# **TE**<sub>nmj</sub> **Modes**

 $E_z = 0$  everywhere

 $H_z(r,\theta,z) \sim J_n(k_c r)(C_1 \cos n\theta + C_2 \sin n\theta) \sin\left(\frac{m\pi z}{l}\right)$ 

$$k_c^2 = \left(\frac{\omega}{c}\right)^2 - \left(\frac{m\pi}{l}\right)^2$$

Longitudinal periodicity

$$J'_n(k_c a) = 0$$
  $X'_{nj}$  is j<sup>th</sup> root of  $J'_n$ 

$$f_{nmj} = \frac{c}{2\pi} \sqrt{\left(\frac{X'_{nj}}{a}\right)^2 + \left(\frac{m\pi}{l}\right)^2}$$



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# **TM<sub>nmj</sub> Modes**

 $H_z = 0$  everywhere

 $E_z(r,\theta,z) \sim J_n(k_c r)(C_1 \cos n\theta + C_2 \sin n\theta) \cos\left(\frac{m\pi z}{l}\right)$ 

Longitudinal periodicity

$$J_n(k_c a) = 0$$
  $X_{nj}$  is the j<sup>th</sup> root of  $J_n$ 

$$f_{nmj} = \frac{c}{2\pi} \sqrt{\left(\frac{X_{nj}}{a}\right)^2 + \left(\frac{m\pi}{l}\right)^2}$$

 $TM_{010}$  is (again) the preferred acceleration mode

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# **Equivalent Circuit**

- A TM<sub>010</sub> cavity looks much like a lumped LRC circuit
  - Ends are capacitive
  - Stored magnetic energy is inductive
  - Currents move over resistive walls
- E, H fields 90 degrees out of phase
- Stored energy



$$U = \frac{\epsilon_0}{2} \iiint |\vec{E}(r,\theta,z,t)|^2 d^3r + \frac{\mu_0}{2} \iiint |\vec{H}(r,\theta,z,t)|^2 d^3r$$
$$U = \frac{\epsilon_0}{2} E_0^2 \int_0^l \int_0^{2\pi} \int_0^a \left[ J_n \left( \frac{X_{01}}{a} r \right) \right]^2 r \, dr \, d\theta \, dz$$
$$= \frac{\epsilon_0}{2} E_0^2 \pi a^2 l \left[ J_1(X_{01}) \right]^2,$$
C&M 9.82  
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# **TM**<sub>010</sub> Average Power Loss $\langle P_{\rm loss} angle = rac{1}{2\sigma\delta} \left\{ 2 \times 2\pi \left( rac{E_0}{\mu_0 c} ight)^2 \int_0^a \left[ J_1 \left( rac{X_{01}}{a} r ight) ight]^2 r \, dr$ ends $+\pi a l \left(\frac{E_0}{\mu_0 c}\right)^2 [J_1(X_{01})]^2 \bigg\}$ sides $=\frac{\pi a(a+l)}{\sigma \delta}\left(\frac{E_0}{\mu_0 c}\right)^2 [J_1(X_{01})]^2,$

Compare to stored energy

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- Both vary like square of field, square of Bessel root
- Dimensional area vs dimensional volume in stored energy

$$U = \frac{\epsilon_0}{2} E_0^2 \pi a^2 l [J_1(X_{01})]^2$$



# **Quality Factor**

- Ratio of average stored energy to average power lost (or energy dissipated) during one RF cycle
  - How many cycles does it take to dissipate its energy?
  - High Q: nondissipative resonator
  - Copper RF: Q~10<sup>3</sup> to 10<sup>6</sup>
  - SRF: Q up to 10<sup>11</sup>

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$$Q = \frac{U\omega}{\langle P_{\rm loss} \rangle}$$

$$U(t) = U_0 \mathrm{e}^{-\omega_0 t/Q}$$

Pillbox cavity 
$$Q = \frac{al}{\delta(a+l)}$$

🎯 📢

#### **Niobium Cavity SRF "Q Slope" Problem**



