



# USPAS Accelerator Physics 2021

## Texas A&M University

### Introduction to Superconducting RF

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<http://www.toddsatogata.net/2021-USPAS>



# Overview

- Recap
- Cavity Parameters
- Exercise I
- DC Superconductivity
- Exercise II
- RF Superconductivity
- Using Cavities
- Things to Remember



# RECAP

C: PILL BOXES

CYLINDRICAL RESONANT CAVITIES

Add flat ends at  $z=0$  &  $z=L$

Take a pair of waveguide modes with

$$k = \pm k_p = \pm p \frac{\pi}{L}, \quad p = 0, 1, \dots \infty$$

add together ( $\sim e^{\pm ikz}$ ) to get a resonant TM mode

$$E_z = \psi(r, \theta) \cdot \cos(p\pi \frac{z}{L}) \cdot e^{i\omega_{RES} t}$$

$TM_{0n0}$  mode has ONLY 2 non-zero-field components!

$$E_z = E_0 \propto J_0\left(\frac{r}{u_{0n}}\right) e^{-i\omega_{0n}t}$$

$$B_\phi = -B_0 \frac{\omega_{0n} R}{u_{0n} c} \propto J_1\left(\frac{r}{u_{0n}}\right) e^{-i\omega_{0n}t}$$

See Figure 7.4 for  $TM_{020}$  . . .

"n" counts the  $E_z$  zero-crossings from  $r=0$  to  $R$

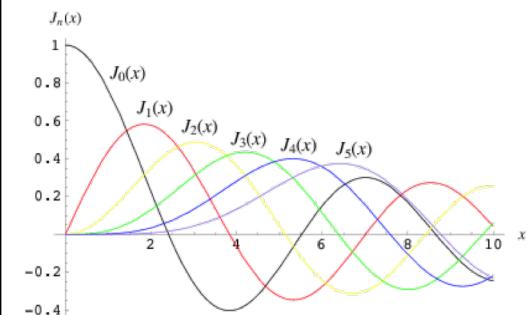
[peggs@bnl.gov](mailto:peggs@bnl.gov)

Accelerator Physics, USPAS 2021

12

### TM mnp

- m is order of Bessel function in  $E_z$
- $m = 0$  implies no theta dependence.



It acquires a voltage of  $\frac{1}{2\pi c}$

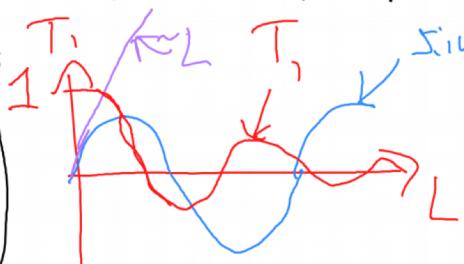
$$V_A = \int_{-\frac{L}{2}}^{\frac{L}{2}} E_z dz = \beta c \cdot E_0 \int_{-\frac{L}{2\pi c}}^{\frac{L}{2\pi c}} e^{i\omega t} dt$$

For a  $TM_{010}$  mode NOTE

$$V_A = E_0 L \cdot T(L) \quad \text{TRANSIT TIME FACTOR}$$

where

$$T_1(L) = \frac{\sin(\omega L / 2\pi c)}{\omega L / 2\pi c}$$



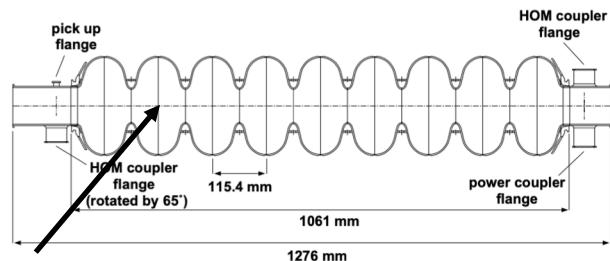
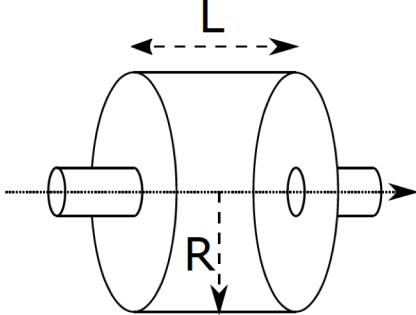


# Realistic Cavities: High Beta

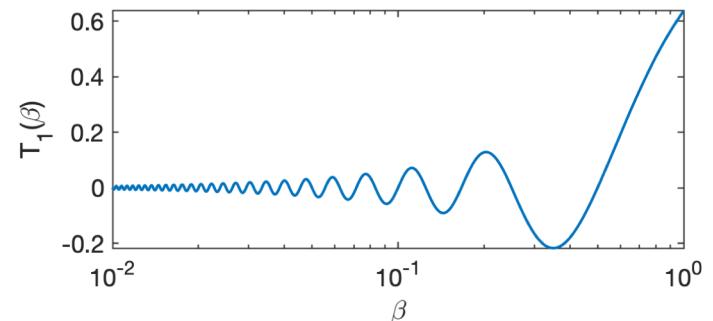
## High Beta Cavities

Particles can stay in phase with the field.

For a pillbox:  $T_1(\beta) = \frac{\sin(\omega L/(2\beta c))}{\omega L/(2\beta c)} = \frac{\sin(\pi L/(\beta\lambda_{rf}))}{\pi L/(\beta\lambda_{rf})}$



Cells    TESLA (Most electron linacs)



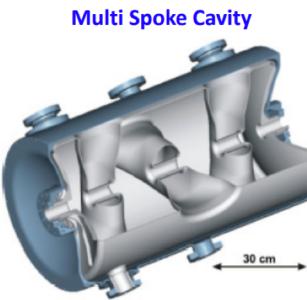
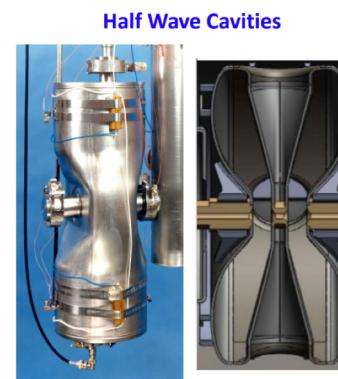
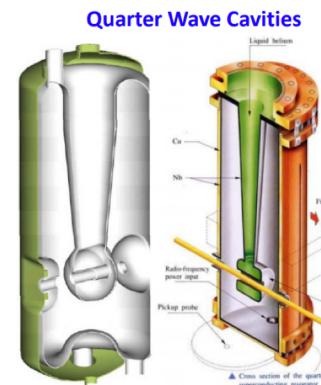
<https://news.fnal.gov/2015/11/fermilab-attains-unprecedented-quality-factor-for-lcls-ii-accelerator-cavity/>



# Realistic Cavities: Other Designs

Many designs!

S.U. De Silva, "Superconducting Cavities of Interesting Shapes (Non-Elliptical Cavities)", SRF'19, FRTU1.  
[http://accelconf.web.cern.ch/srf2019/talks/frtu1\\_talk.pdf](http://accelconf.web.cern.ch/srf2019/talks/frtu1_talk.pdf)

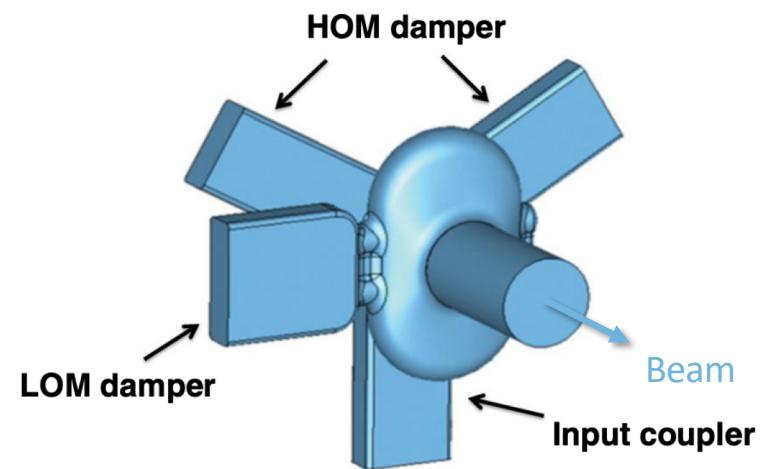
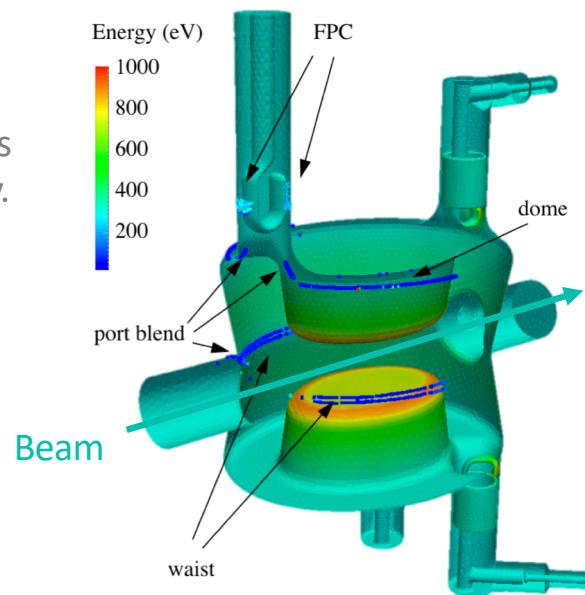




# Even more

Cavities are used to ‘crab’ and deflect beams.

S. Verdú-Andrés  
et al., Phys. Rev.  
Accel.  
Beams 21,  
082002, 2018



Yawei Yang et al., Phys. Rev. ST Accel.  
Beams 17, 032001, 2014

We need generalized parameters to quantify the performance.



## Accelerating Voltage

The energy gained by an ultra-relativistic particle when it passes through a cavity.

$$\Delta\mathcal{E}(\phi) = q \int_0^L E_{z_0}(r = 0, z) \cos\left(\frac{\omega_0 z}{c} + \phi\right) dz$$

Particle entry phase

Fundamental mode field map

**Accelerating voltage (in Volts)** is defined as the maximum energy gain per unit charge of an ultra-relativistic particle travelling through a resonant cavity.

$$V_c = \max_{\phi} \frac{\Delta\mathcal{E}(\phi)}{q} = \left| \int_0^L E_{z_0}(r = 0, z) e^{\frac{i\omega_0 z}{c}} dz \right|$$



## Stored Energy and Dissipation

The **total energy** stored in the electromagnetic field of the fundamental mode is

$$U = \frac{1}{2} \epsilon_0 \int_V |\vec{E}(\vec{r})|^2 dV = \frac{1}{2} \mu_0 \int_V |\vec{H}(\vec{r})|^2 dV$$

The total **power dissipated** on the cavity wall is *by definition*

$$P_{\text{Wall}} = \frac{\omega U}{Q_0}$$

**Intrinsic Quality Factor**



# Surface Resistance

Consider an infinitesimal *square* patch on the cavity surface.

Current  $\vec{J}_s$  flows along the surface to generate the eigen mode magnetic field  $\vec{\mathcal{H}}$ . At the surface, the eigenmode electric field parallel to the surface is 0. Writing the Maxwell's equation for this patch of the surface.

$$\vec{\nabla} \times \vec{\mathcal{H}} - \epsilon_0 \frac{\partial \vec{E}}{\partial t} = \vec{J}_s \implies |\vec{\mathcal{H}}| dx = |\vec{J}_s| dx dy$$

No tangential electric field at surface.

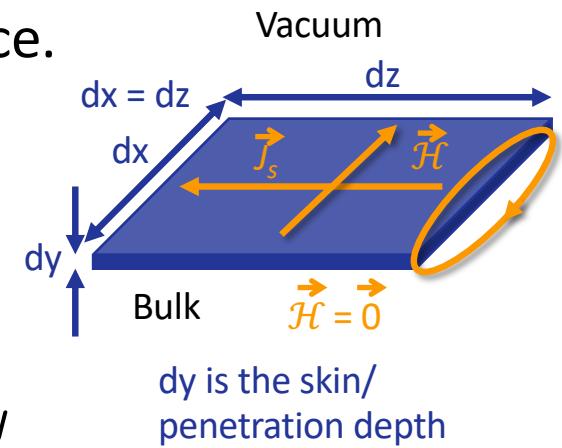
Only works for  
an eigenmode!

The heat dissipated by the patch of surface is,

$$dP_{\text{Wall}} = I^2 R_s = (|\vec{J}_s| dx dy)^2 R_s = R_s |\vec{\mathcal{H}}|^2 dx dz$$

$dx^2 = dx dz$

$R_s$  is called the **surface resistance**.





## Dissipation and Geometry Factor

We can assume that the eigenmode magnetic field is  $\vec{\mathcal{H}}(\vec{r}, t) = \vec{H}(\vec{r}) \exp(i\omega_0 t)$

Then total **power dissipated** on the cavity surface is,

$$P_{\text{Wall}} = \frac{R_s}{2} \int_S |\vec{H}(\vec{r})|^2 dS$$

Factor of 2 from averaging.

The **geometry factor (in Ohms)** is defined as,

$$G \equiv Q_0 R_s = \omega_0 \mu_0 \frac{\int_V |\vec{H}|^2 dV}{\int_S |\vec{H}|^2 dS}$$

G is independent of cavity size, material and only dependent on shape!



## Shunt Impedance

The **shunt impedance** of the cavity relates the accelerating voltage to the power dissipated while operating the cavity and is given by,

**Circuit Definition**

$$R \equiv \frac{V_c^2}{2P}$$

$V_c$  is the accelerating voltage.

A more useful quantity is the **ratio between the shunt impedance and a quality factor**.

**Circuit Definition**

$$\frac{R}{Q} = \frac{\frac{V_c^2}{2P}}{\frac{\omega_0 U}{P}} = \frac{V_c^2}{2\omega_0 U}$$

$P$  is the *total* power lost during operation which includes heat dissipation on the wall and losses at the coupler.

R/Q is independent of material properties!

Quantifies the coupling between the beam and the resonant mode.



## Exercise I

Now we know two *Figures of Merit* of a rf cavity which are independent of material properties.

$$G \equiv Q_0 R_s = \omega_0 \mu_0 \frac{\int_V |\vec{H}|^2 dV}{\int_S |\vec{H}|^2 dS}$$

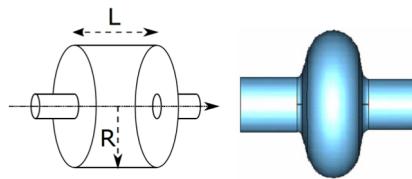
$$\frac{R}{Q} = \frac{\frac{V_c^2}{2P}}{\frac{\omega_0 U}{P}} = \frac{V_c^2}{2\omega_0 U}$$

While designing a cavity, which among these two quantities should we try to maximize or minimize?

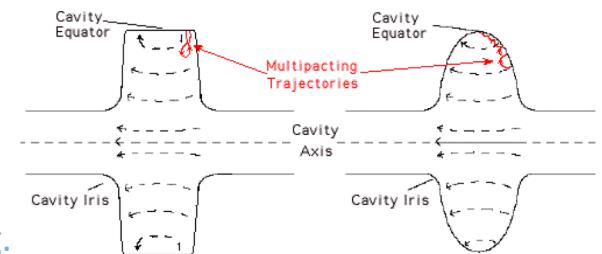


# Figures of Merit: Summary

Cavity Parameters – Calculated using EM simulation codes.



Curved shape to stop multipacting.



Parameter	Pillbox	TESLA	Comment
R/Q ( $\Omega$ )	98	57.55/cell	Larger value implies more acceleration with same rf power input.
G ( $\Omega$ )	256.6	270	Larger value implies larger $Q_0$ with same $R_s$ .
Epk/Eacc	1.6	2.0	Smaller values allow smaller surface fields for a fixed voltage.
Bpk/Eacc (mT/(MV/m))	3.05	4.26	

TESLA: <https://arxiv.org/pdf/physics/0003011.pdf>



# The case for SRF

Material Property – Measured through rf testing.

Cryogenic copper: 250 MV/m @ 45 K!  
<https://journals.aps.org/prab/abstract/10.1103/PhysRevAccelBeams.21.102002>

Material	Copper (300 K)	Copper (2 K)	Niobium (2 K)
Surface Resistance @ 1.3 GHz	10 mΩ	2 mΩ	10 nΩ
Dissipation in a Pillbox @ 1 MV	200 kW	40 kW	0.2 W

Pulsed: 1%→2 kW

Case for SRF?

But cryogenic systems are power hungry! Coefficient of Performance:  $COP \equiv \frac{q}{W} \sim 0.001 @ 2K$

The net reduction in power consumption is typically a factor of 100 when using SRF.

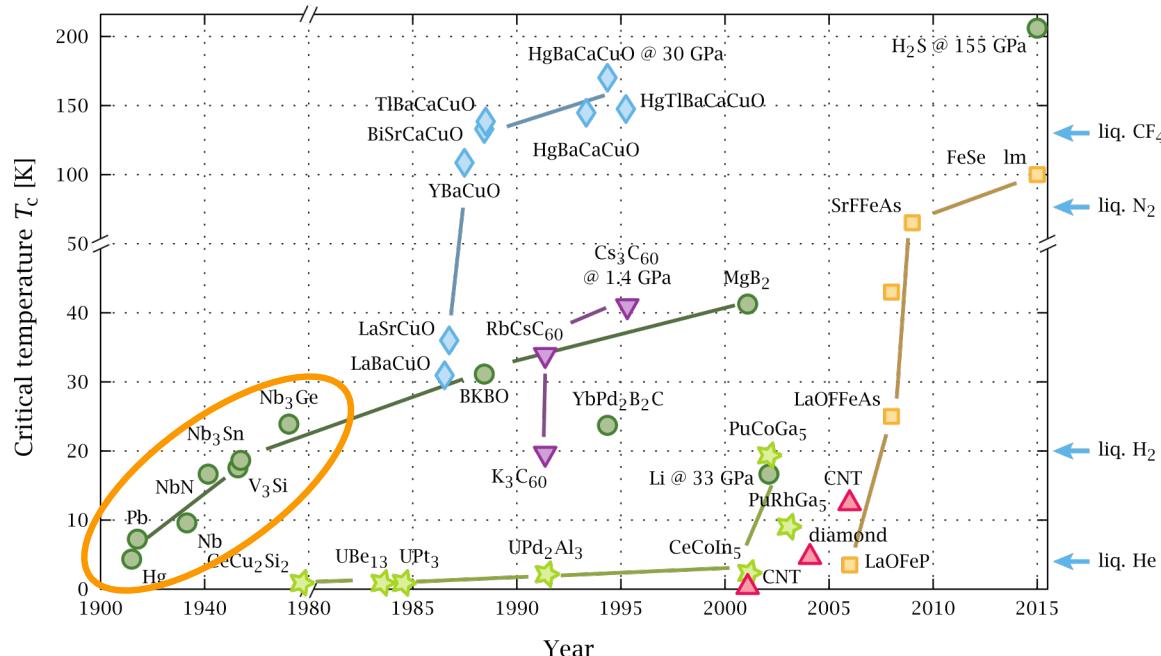
SRF is necessary when dealing with CW systems.

But why do superconducting materials exhibit a resistance under rf excitation?



# DC Superconductivity

Zero electrical resistance below a **critical temperature**. First discovered in solid mercury by Heike Kamerlingh Onnes in 1911.



By PJRay - Own work, CC BY-SA 4.0,  
<https://commons.wikimedia.org/w/index.php?curid=46193149>

Only interested in BCS  
superconductors.



# Superconducting Magnets

**4.5T**

Tevatron,  
6 m, 76 mm  
774 dipoles



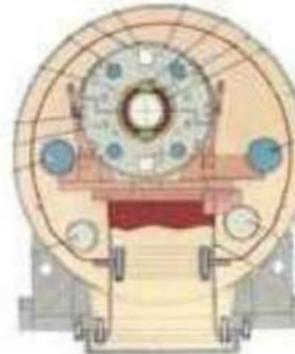
**5.3T**

HERA,  
9 m, 75 mm  
416 dipoles

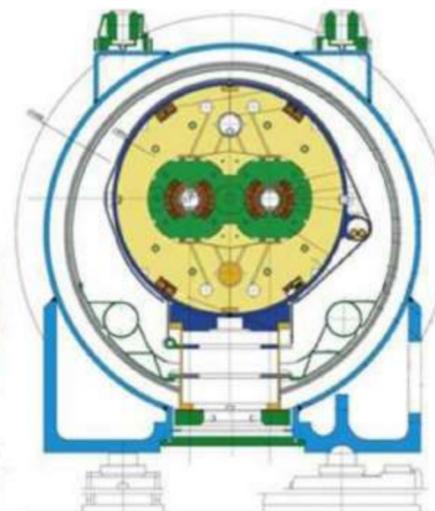


**3.5T**

RHIC,  
9 m, 80 mm  
264 dipoles



**8.3T** LHC,  
15 m, 56 mm  
1276 dipoles

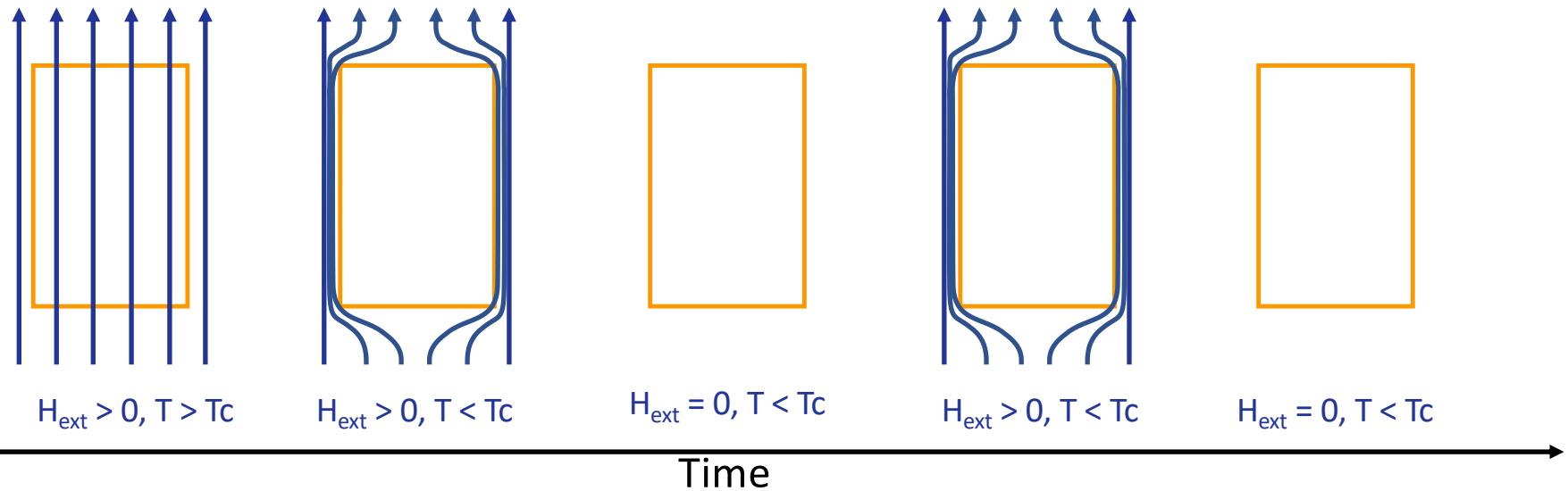


V Shiltsev, Accelerator science and technology breakthroughs, achievements and lessons from the Tevatron, arxiv, <https://arxiv.org/pdf/1109.1864.pdf>



# Meissner Effect

A superconductor expels *all* magnetic field from the bulk just below transition.



Magnetic field inside the superconductor is 0 independent of the history of the external applied magnetic field.



# London Equations

Consider superconducting charged carriers which move without getting scattered.

$$\vec{j}_s = n_s e \vec{v}_s$$

Super current   Carrier density   velocity

$$m \frac{d\vec{v}_s}{dt} = e \vec{E} \implies \frac{\partial \vec{j}_s}{\partial t} = \frac{n_s e^2}{m} \vec{E}$$

Carrier mass

Normal current:  $\vec{j}_n = \sigma_n \vec{E}$

Applying curl to both sides.

$$\vec{\nabla} \times \frac{\partial \vec{j}_s}{\partial t} = \frac{n_s e^2}{m} \vec{\nabla} \times \vec{E} \implies \frac{\partial}{\partial t} \left\{ \vec{\nabla} \times \vec{j}_s + \frac{n_s e^2 \mu_0}{m} \vec{H} \right\} = 0$$

The Meissner effect is independent of history.

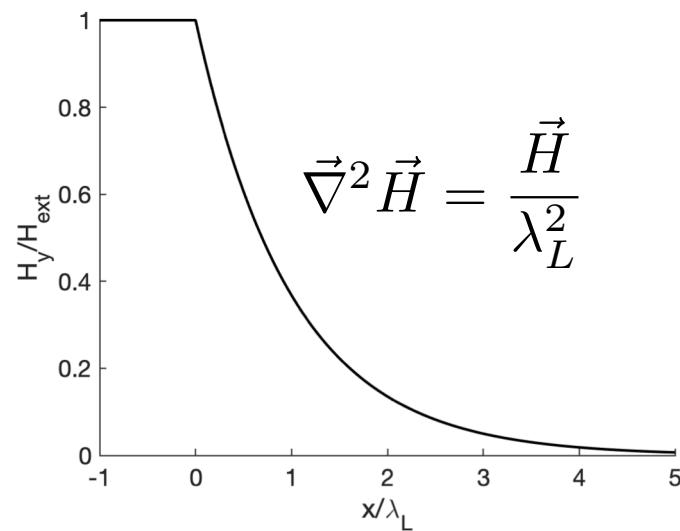
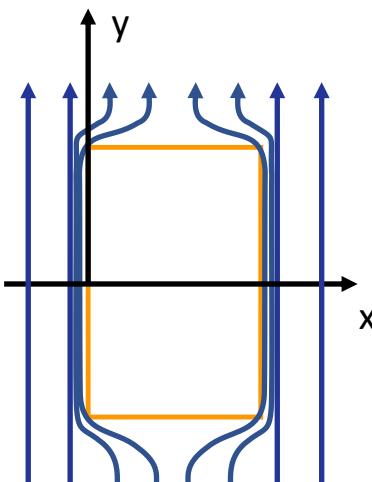
$$\vec{\nabla} \times \vec{j}_s + \frac{n_s e^2 \mu_0}{m} \vec{H} = 0$$



# London Penetration Depth

The magnetic field decays into the bulk of the superconductor with a characteristic length scale called the **London Penetration Depth**.

$$\lambda_L \equiv \sqrt{\frac{m}{n_s e^2 \mu_0}}$$



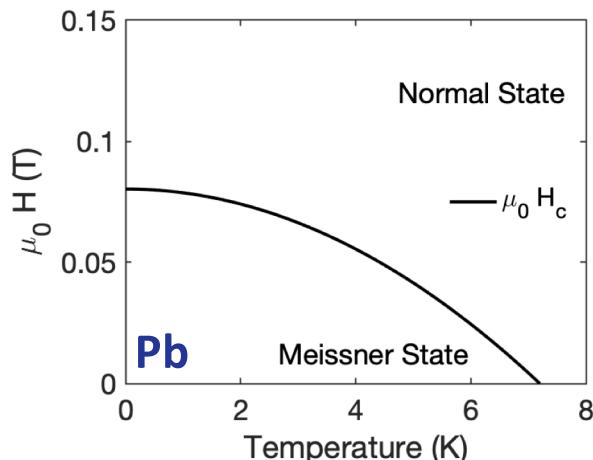
A *super-current* flowing across the surface of the superconductor shields the bulk from the external magnetic field.

$$\vec{\nabla}^2 \vec{j}_s = \frac{\vec{j}_s}{\lambda_L^2}$$

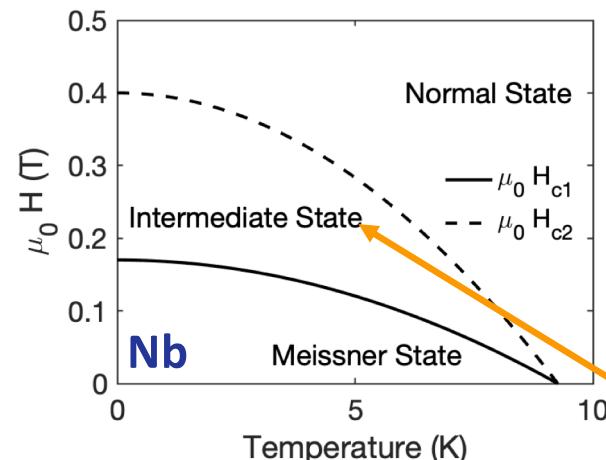


# Types of Superconductors

The Meissner effect has its limits!

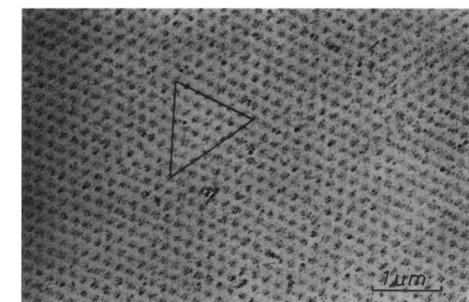


Type - I



Type - II

U. Essmann, Observation of the mixed state, Physica, Vol. 55, 83-93, 1971.  
[https://doi.org/10.1016/0031-8914\(71\)90244-8](https://doi.org/10.1016/0031-8914(71)90244-8).



Entry of magnetic vortices.

These **critical fields** impose an upper limit on the peak magnetic field which can be sustained at the cavity surface before the cavity quenches.

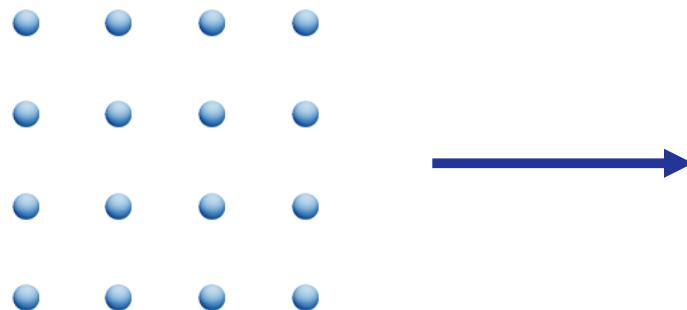


# BCS Theory

The Bardeen-Cooper-Schrieffer (BCS) theory (1957) describes the microscopic mechanism of low temperature superconductivity.

1. Attractive force between electrons lead to the formation of **Cooper pairs**.

By ManosHacker – Own work, CC BY-SA 3.0, <https://commons.wikimedia.org/wiki/File:Superconductivity.gif>



Form Cooper pairs with characteristic scales:

- a) Energy Gap ( $2\Delta$ )
- b) Coherence Length ( $\xi$ )

Net attractive force due to lattice deformations (phonons).

2. Bose – Einstein condensation below the transition temperature.



# BCS Parameters

Category	Parameter Name (0 K)	Nb	Nb3Sn	Description
Energy Scales	$T_c$ (K)	9.25	18.3	Transition temperature
	$2\Delta$ (meV)	3	6	Energy gap
Length Scales	$\lambda_L$ (nm)	39	5.7	London penetration depth
	$\xi$ (nm)	27	65-89	BCS coherence length
	$l$ (nm)	-	-	Mean free path of normal conducting carriers. Depends on purity of material.
Field Scales	$\mu_0 H_{c1}$ (mT)	174	38	Lower critical field
	$\mu_0 H_{c2}$ (T)	0.52	16	Upper critical field
	$\mu_0 H_c$ (mT)	199	520	Thermodynamic critical field
	$\mu_0 H_{sh}$ (mT)	240	440	Superheating field

Nb TESLA max gradient: 56 MV/m

S Keckert et al 2019 Supercond. Sci. Technol. 32 075004.

<https://iopscience.iop.org/article/10.1088/1361-6668/ab119e>

nb522@cornell.edu

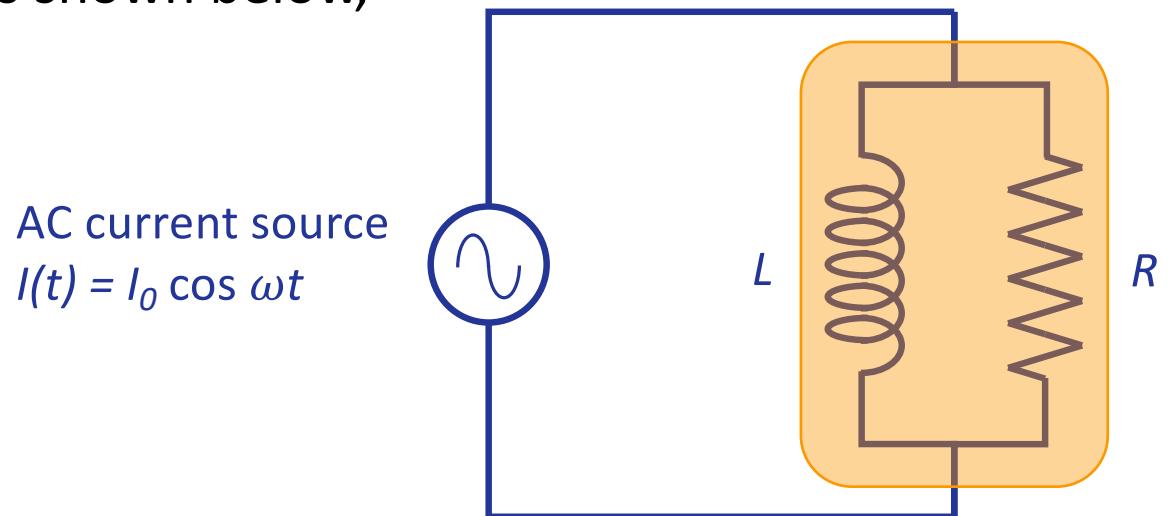
Introduction to Superconducting RF

$H_{c2}$  data:  
<https://accelconf.web.cern.ch/I-PAC2015/papers/wepty075.pdf>



## Exercise II

A simple toy model which describes a superconductor under an alternating field is shown below,



Superconductor modelled  
as a parallel LR circuit.

When  $\omega = 0$ , then all the current flows through the inductor and the superconductor exhibits 0 resistance. But what happens when  $\omega > 0$ ? Calculate the power dissipated by the superconductor assuming  $R \gg \omega L$ .



## Toy Model: Solution

The potential difference (in phasor notation) across the resistor is ( $R \gg \omega L$ ),

$$\tilde{V} = \frac{I_0}{\frac{1}{i\omega L} + \frac{1}{R}} = \frac{iI_0 R \omega L}{R + i\omega L} \approx iI_0 \omega L$$

When  $\omega = 0$ , the potential difference across the resistor is 0 so no current flows through it and there is no dissipation. But when  $\omega > 0$ , the power dissipated is,

$$P = \left[ \frac{1}{2} \right] \frac{|\tilde{V}|^2}{R} = \frac{I_0^2 L^2 \omega^2}{2R}$$

Averaging the sine wave

Dissipation is:

1. Proportional to square of frequency.
2. Proportional to conductivity of normal component.



## Two Fluid Model and BCS Resistance

The toy model was a simple interpretation of the classical two-fluid picture (Gorter and Casimir 1934), where the current is transported via

1. Superconducting carriers – Actually Cooper pairs,
2. Normal conducting carriers – Quasi-particle excitations of the BCS ground state.

The surface resistance of a superconductor (Mattis and Bardeen 1958) is (*low field, low temperature, low frequency, dirty limit*),

$$R_s = R_{\text{BCS}} + R_{\text{res}},$$

Residual resistance due to material imperfections or trapped flux.

$$R_{\text{BCS}} = \frac{\mu_0^2 \lambda^3 \sigma_n \omega^2 \Delta}{k_B T} \ln \left[ \frac{C_1 k_B T}{\hbar \omega} \right] \exp \left[ - \frac{\Delta}{k_B T} \right]$$

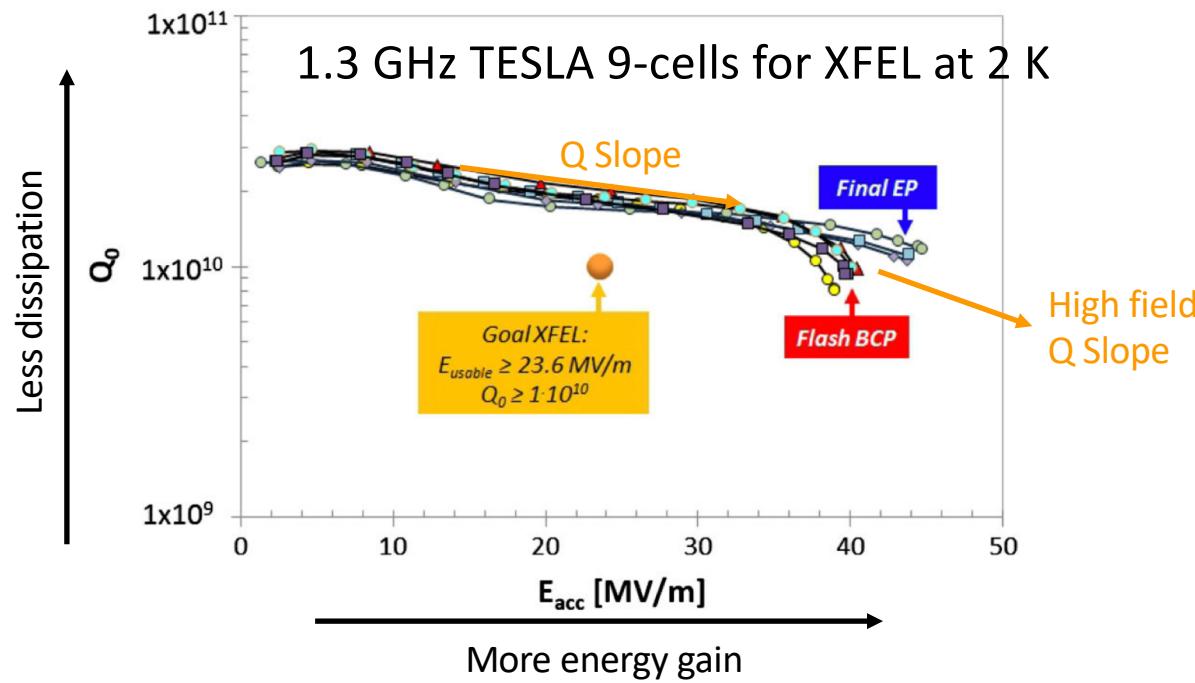
$$C_1 \sim 9/4, T < T_c/2, \omega < \Delta/\hbar$$

[http://accelconf.web.cern.ch/srf2019/talks/thtu1\\_talk.pdf](http://accelconf.web.cern.ch/srf2019/talks/thtu1_talk.pdf)



## Q vs E

Surface resistance is a function of applied field! – Superconductors are **non-linear**.

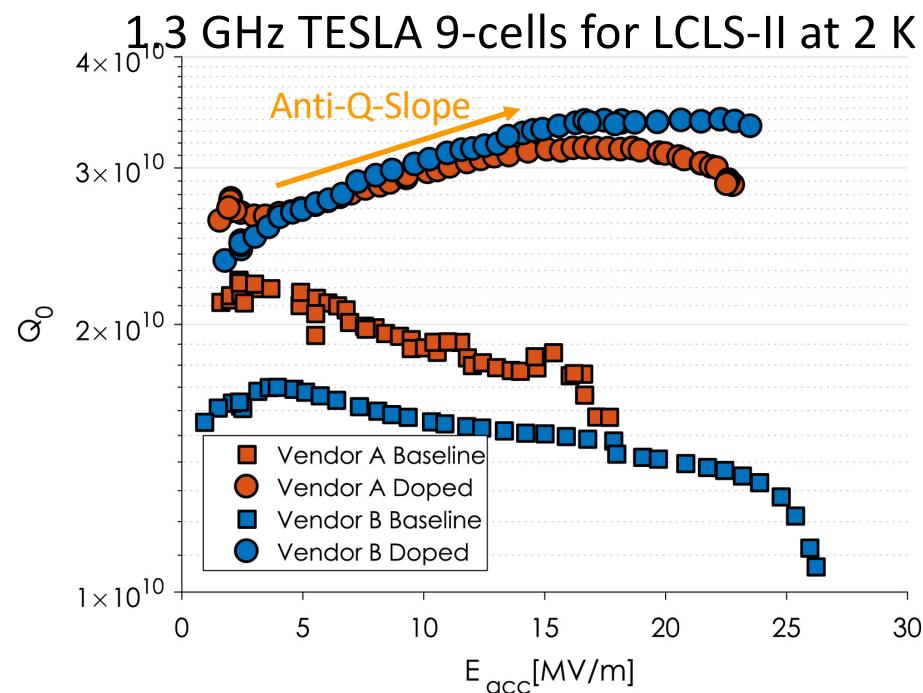


W. Singer et al., Phys. Rev. Accel. Beams 19, 092001 (2016),  
<https://doi.org/10.1103/PhysRevAccelBeams.19.092001>



# Current Frontiers: Nitrogen Doping

Doping with nitrogen gives rise to *anti-Q-slope* behavior.

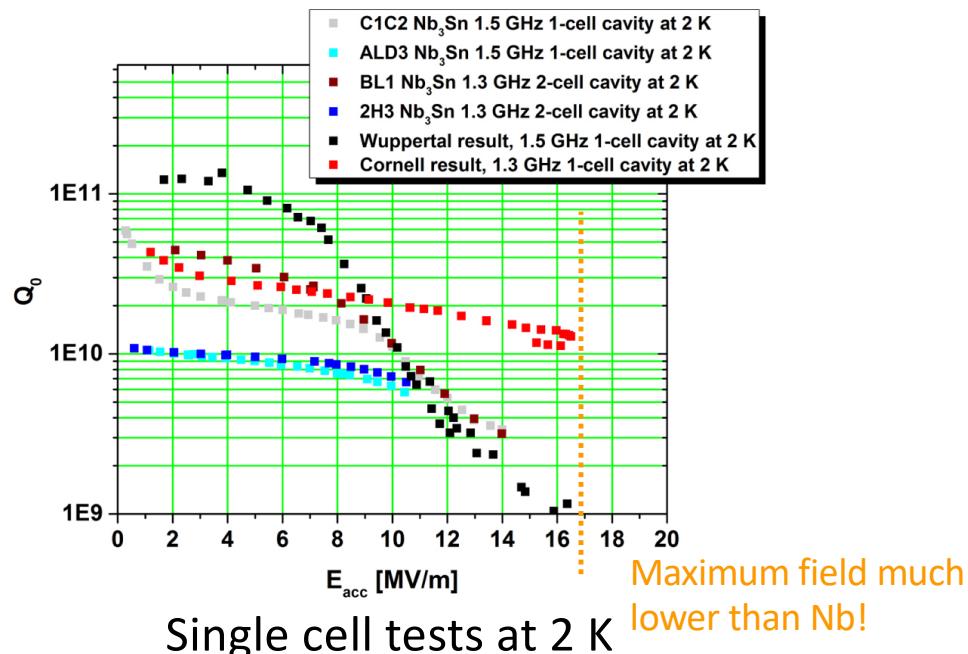


D. Gonnella et al.,  
Industrialization of the nitrogen-doping preparation for SRF cavities for LCLS-II, NIM A, Vol 883, 143-150, 2018.  
<https://doi.org/10.1016/j.nima.2017.11.047>.

New recipes and dopants are being actively researched!

# Current Frontiers: Nb<sub>3</sub>Sn

Niobium-Tin exhibits lesser surface resistance than Nb at the same temperature and is theoretically capable of reaching higher fields.



S Posen and D L Hall 2017 Supercond.  
Sci. Technol. 30 033004  
<https://iopscience.iop.org/article/10.1088/1361-6668/30/3/033004>

Cavities made of Nb<sub>3</sub>Sn quench at fields much lower than the theoretical maximum. Active field of research!

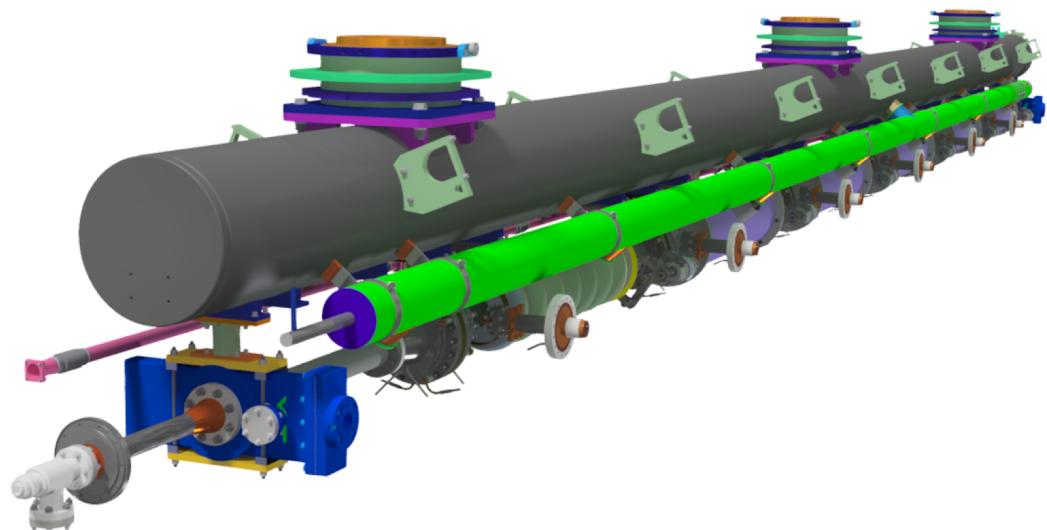


# Using Cavities

## Lots of other challenges!

(<https://sites.google.com/view/srfuspas17/home>)

- Field Emission and Multipacting – This is why SRF cavities are elliptical!
- Tuning and Detuning
- Power  
(<https://uspas.fnal.gov/programs/2019/newmexico/courses/microwave-sources.shtml>) and Control  
(<https://uspas.fnal.gov/programs/2018/odu/courses/control-theory.shtml>)
- Higher Order Modes and Wakefields  
(<https://uspas.fnal.gov/materials/19Knoxville/Knoxville-Wakefields.shtml>)
- Cryogenic System  
(<https://uspas.fnal.gov/materials/19NewMexico/NewMexico-Cryogenic.shtml>)



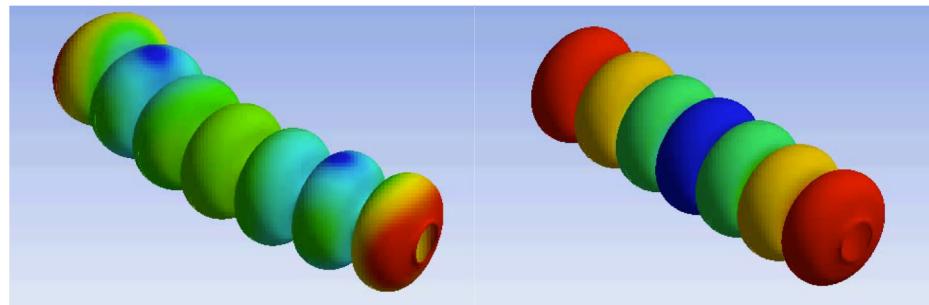
Main Linac Cryomodule from the CBETA project.  
A. Bartnik et al., Phys. Rev. Lett. 125, 044803, 2020  
<https://journals.aps.org/prl/abstract/10.1103/PhysRevLett.125.044803>



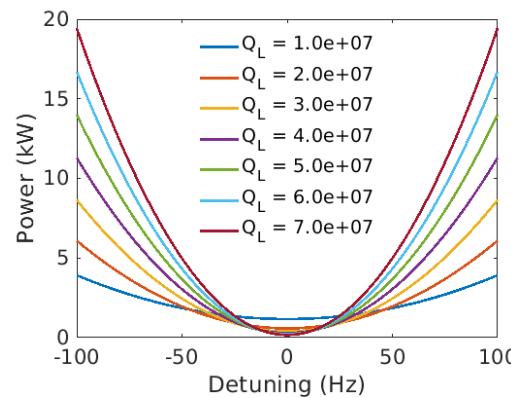
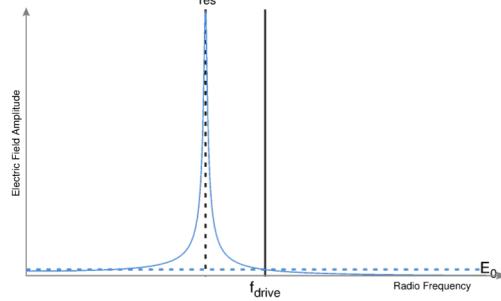
# Resonance Detuning

Vibrations can dynamically deform SRF cavities.

7-cell SRF cavities used in the Main Linac Cryomodule from the CBETA project.



Resonance frequency moves around!



Vibrations increase rf power requirements in narrow bandwidth linacs.



## Things to Remember

- $V$ ,  $R/Q$  and  $Q$  are important parameters which determine cavity operation.
- Two-fluid picture: SRF surface resistance arises from normal conducting contribution. Depends on normal conductivity, temperature, transition temperature and frequency.
- Superconductors are non-linear so the intrinsic quality factor changes with field.
- Big leap from cavity design and materials to actual use in accelerators.



## References

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<https://www.wiley.com/en-us/RF+Superconductivity+for+Accelerators%2C+2nd+Edition-p-9783527408429>
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